

UFLPA requirements

A. Due Diligence System Information

Documentation showing a due diligence system or process that may include the following:

- Engagement with suppliers and other stakeholders to assess and address forced labor risk;
- Mapping of the supply chain and assessment of forced labor risks along the supply chain from raw materials to production of the imported good;
- Written supplier code of conduct² forbidding the use of forced labor and addressing the risk of use of Chinese government labor schemes;
- Training on forced labor risks for employees and agents who select and interact with suppliers;
- Monitoring of supplier compliance with the code of conduct;
- Remediation of any forced labor conditions identified or termination of the supplier relationship if remediation is not possible or is not timely completed;
- Independent verification of the implementation and effectiveness of the due diligence system; and
- Reporting performance and engagement publicly on its due diligence system.

B. Supply Chain Tracing Information

Documentation tracing the supply chain from raw materials to the imported good. This following documentation is provided to illustrate the types of information that importers may provide, or that may be requested by CBP, to demonstrate their imports are either not subject to the UFLPA because their supply chains are wholly outside of Xinjiang and unconnected to listed entities, or to show that their imports are free of forced labor and in compliance with the UFLPA.

- **Evidence Pertaining to Overall Supply Chain**
 - Detailed description of supply chain including imported merchandise and components thereof, including all stages of mining, production, or manufacture;
 - The role(s) of the entities in the supply chain, including shippers and exporters: for example, CBP will need to determine whether a supplier is also a manufacturer;
 - For entities in the supply chain, identify any relationships in accordance with 19 C.F.R. § 152.102(g);
 - A list of suppliers associated with each step of the production process, including names and contact information (addresses, email addresses, and phone number);
 - Affidavits from each company or entity involved in the production process.

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- **Evidence Pertaining to Merchandise or Any Component Thereof**

- Purchase orders
- Invoice for all suppliers and sub-suppliers
- Packing list
- Bill of materials
- Certificates of origin
- Payment records
- Seller's inventory records, including dock/warehouse receipts
- Shipping records, including manifests, bills of lading (e.g., airway/vessel/trucking)
- Buyer's inventory records, including dock/warehouse receipts
- Invoices and receipts for all suppliers and sub-suppliers
- Import/export records

